



G R E S B[®]

2020

Resilience Scoring Document

Contents

GRESB Resilience Indicators

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The 2020 GRESB Resilience Module Scoring Document (“Scoring Document”) accompanies the 2020 GRESB Resilience Module and is published as a standalone document. The Scoring Document reflects the opinions of GRESB and not of our members. The information in the Scoring Document has been provided in good faith and is provided on an “as is” basis. We take reasonable care to check the accuracy and completeness of the Scoring Document prior to its publication. While we do not anticipate major changes, we reserve the right to make modifications to the Scoring Document. We will publicly announce any such modifications.

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Introduction

The Scoring Document is shared for information purposes in an effort to increase transparency around the Assessment, Methodology and Scoring processes. GRESB reserves the right to make edits to this document during the scoring and analysis period preceding the 2020 Results Launch.

How to read this document?

The GRESB Resilience Module Scoring Document provides a visual breakdown of each indicator score included in the 2020 GRESB Resilience Module. It is recommended to read this document in conjunction with the [Reference Guide](#) which includes the reporting requirements for each indicator.

This document includes:

- Total number of points assigned to each indicator
- Indicator score breakdown: fractions documented in red on the left side of each scored indicator
- Description of indicator specific scoring approach: provided below each indicator

Additional clarifications:

- Indicators with multiple sub-options: For some indicators, participants must complete multiple data points within a single question - e.g., RS4: systematic process to assess the entity's exposure to climate-related transition risk, where participants must include (i) confirmation that its process is documented, (ii) confirmation that its process includes the use of scenarios and (iii) identification of material risk factors identified by the process. For these indicators, participants must complete all sections, as all of these are included in scoring.
- Score multipliers: The 2020 Resilience Module does not make use of score multipliers.
- Open text boxes: The open text boxes are not scored on content and are for reporting purposes only. Some open text boxes, however, are required to be completed in order to complete the indicator.
- Validation: The 2020 Resilience Module will not undergo a data validation process.
- Evidence and document uploads: Evidence in support of validation requirements are asked of some indicators. GRESB uses evidence uploads in the data validation process. As the 2020 Resilience Module is an optional supplement and will not undergo a data validation process, no document uploads are required and will not be scored. However, evidence on such indicators is likely to be required in 2021 upon integration into the main assessments.
- Benchmarked indicators: None of the indicators in the 2020 Resilience Module make use of benchmarks in their scoring.

Example: Indicator RS1

RS1 Has the organization assigned responsibility for the climate risk and resilience of the entity to an employee and/or a team?

Yes

The entity has assigned responsibility to (select all that apply):

An employee with primary responsibility for the management of climate risk and resilience is:

The same individual as the senior decision-maker responsible for sustainability (identified in the Management Aspect of the main GRESB Assessment).

Scope of responsibility for this individual includes:

$\frac{1}{3}$ Climate-related transition risks

$\frac{1}{3}$ Physical risks

$\frac{1}{3}$ Social risks

A different individual(s) from the senior decision-maker responsible for sustainability.

Provide the details for the most senior of these employees

Name: _____

Job title: _____

E-mail: _____

Scope of responsibility for this individual includes:

$\frac{1}{3}$ Climate-related transition risks

$\frac{1}{3}$ Physical risks

$\frac{1}{3}$ Social risks

A team, group, or committee with responsibility for the management of climate risk and resilience

List the functional groups or departments represented on the team, group, or committee

Scope of responsibility for this team, group, or committee includes:

$\frac{1}{3}$ Climate-related transition risks

$\frac{1}{3}$ Physical risks

$\frac{1}{3}$ Social risks

No

Provide additional context for the answer provided (maximum 250 words)

1 point

This indicator is split into two sections represented by two 1's in the highest hierarchy (leftmost column). The first section addresses the potential for an employee with primary responsibility for the management of climate risk and resilience, and the second section addresses the potential for a team, group, or committee with

responsibility for the management of climate risk and resilience. The leftmost column tells us that the score of the indicator is calculated as follows:

$$\text{Indicator score} = (1 * \text{employee score OR } 1 * \text{team, group, or committee score}) * 1 \text{ point}$$

Full points can be received by either having a primary employee OR having a team, group, or committee.

The second hierarchy defines the point breakdown necessary to receive full points within either of these options. To get full points for an employee, either the same individual as the senior decision-maker responsible for sustainability (or a different individual from the senior decision-maker responsible for sustainability) must be responsible for climate-related transition risks, physical risks, and social risks. To get full points for a team, group, or committee, the scope of responsibility must cover climate-related transition risks, physical risks, and social risks.

- Each checkbox selected is awarded the fraction score displayed next to it.
- The different fractions are summed up and then multiplied by the weight assigned to the type of consequence.

If the respondent selects climate-related transition risks and physical risks, but not social risks, for a team, group, or committee, the score is:

$$(1/3 + 1/3) * 1 * 1 \text{ point} = 0.67 \text{ points}$$

GRESB Resilience Indicators

RS1 Has the organization assigned responsibility for the climate risk and resilience of the entity to an employee and/or a team?

Yes

The entity has assigned responsibility to (select all that apply):

An employee with primary responsibility for the management of climate risk and resilience is:

The same individual as the senior decision-maker responsible for sustainability (identified in the Management Aspect of the main GRESB Assessment).

Scope of responsibility for this individual includes:

$\frac{1}{3}$ Climate-related transition risks

$\frac{1}{3}$ Physical risks

$\frac{1}{3}$ Social risks

A different individual(s) from the senior decision-maker responsible for sustainability.

Provide the details for the most senior of these employees

Name: _____

Job title: _____

E-mail: _____

Scope of responsibility for this individual includes:

$\frac{1}{3}$ Climate-related transition risks

$\frac{1}{3}$ Physical risks

$\frac{1}{3}$ Social risks

A team, group, or committee with responsibility for the management of climate risk and resilience

List the functional groups or departments represented on the team, group, or committee

Scope of responsibility for this team, group, or committee includes:

$\frac{1}{3}$ Climate-related transition risks

$\frac{1}{3}$ Physical risks

$\frac{1}{3}$ Social risks

No

Provide additional context for the answer provided (maximum 250 words)

1 point

The scoring of this indicator is equal to the sum of the fractions assigned to the selected options and respective sub-options, multiplied by the total score of the indicator. The total score for this indicator is capped at the maximum point value of the indicator.

RS2 Does the organization have a systematic process for communication and review of resilience-related information by the most senior governance body with responsibility for the entity?

Yes

$\frac{1}{3}$ Climate-related transition risks

Does the process include periodically informing the highest-level decision maker or decision-making body with responsibility for the entity?

Yes

No

The process includes (select all that apply):

Written communications

Presentations or briefings

Briefing documents for review by the Board of Directors

Other: _____

The materials from the communication and review process are disclosed to (if disclosed):

The public

Investors upon request

Other stakeholders upon request

Other: _____

$\frac{1}{3}$ Physical risks

Does the process include periodically informing the highest-level decision maker or decision-making body with responsibility for the entity?

Yes

No

The process includes (select all that apply)

Written communications

Presentations or briefings

Briefing documents for review by the Board of Directors

Other: _____

The materials from the communication and review process are disclosed to (if disclosed):

The public

Investors upon request

Other stakeholders upon request

Other: _____

Other: _____

$\frac{1}{3}$ Social risks

Does the process include periodically informing the highest-level decision maker or decision-making body with responsibility for the entity?

Yes

No

The process includes (select all that apply)

Written communications

Presentations or briefings

Briefing documents for review by the Board of Directors

Other: _____

The materials from the communication and review process are disclosed to (if disclosed):

The public

Investors upon request

Other stakeholders upon request

Other: _____

Can the entity provide evidence as an UPLOAD or URL?

Yes

UPLOAD or URL _____

Indicate where in the evidence the relevant information can be found _____

No

No

Provide additional context for the answer provided (maximum 250 words)

2 points

The scoring of this indicator is equal to the fraction assigned to the selected option, multiplied by the total score of the indicator.

RS3

Does the organization have a systematic process to incorporate climate risk and resilience into planning, budgeting, evaluation, and/or similar activities?

Yes

$\frac{1}{3}$

Transition risk

Risks and opportunities are explicitly included in entity-level planning

Budgeting

Performance review

Work plans

Other: _____

$\frac{1}{3}$

Physical risk

Risks and opportunities are explicitly included in entity-level planning

Budgeting

Performance review

Work plans

Other: _____

$\frac{1}{3}$

Social risk

Risks and opportunities are explicitly included in entity-level planning

Budgeting

Performance review

Work plans

Other: _____

Can the entity provide evidence as an UPLOAD or URL?

Yes

UPLOAD or URL _____

Indicate where in the evidence the relevant information can be found _____

No

No

1.5 points

The scoring of this indicator is equal to the fraction assigned to the selected option, multiplied by the total score of the indicator.

RS4 Does the organization have a systematic process to assess the entity's exposure to climate-related transition risk?

Yes

^{1/3} The process is documented

The nature of the process is disclosed to (if disclosed):

- The public
- Investors upon request
- Other stakeholders upon request
- Other: _____

^{1/3} The process considers forward-looking scenarios

Select all that apply:

- Representative Concentration Pathway 2.6
- Representative Concentration Pathway 4.5
- Representative Concentration Pathway 6.0
- Representative Concentration Pathway 8.5
- IEA Current Policies Scenario
- IEA Stated Policies Scenario
- IEA Sustainable Development Scenario
- IEA 2DS
- IEA B2DS
- PRI Inevitable Policy Response "Forecast Policy Scenario"
- Rockström et al. (2017) 1.5°C Scenario
- Custom scenarios developed for the entity
- Other: _____

^{1/3} The process identifies material climate-related transition risk factors

Name the material risk factor(s) identified:

- Transition risk factor 1: _____
- Transition risk factor 2: _____
- Transition risk factor 3: _____

Material risk(s) identified for the entity are disclosed to (if disclosed):

- The public
- Investors upon request

Other stakeholders upon request

Other: _____

Can the entity provide evidence as an UPLOAD or URL?

Yes

UPLOAD or URL_____

Indicate where in the evidence the relevant information can be found_____

No

No

Provide additional context for the answer provided (maximum 250 words)

2 points

The scoring of this indicator is equal to the fraction assigned to the selected option, multiplied by the total score of the indicator.

Does the organization have a systematic process to assess the entity's exposure to physical climate risks?

Yes

$\frac{1}{3}$ The process is documented

The nature of the process is disclosed to (if disclosed):

- The public
- Investors upon request
- Other stakeholders upon request
- Other: _____

$\frac{1}{3}$ The process considers forward-looking scenarios

Select all that apply:

- Representative Concentration Pathway 2.6
- Representative Concentration Pathway 4.5
- Representative Concentration Pathway 6.0
- Representative Concentration Pathway 8.5
- IEA Current Policies Scenario
- IEA Stated Policies Scenario
- IEA Sustainable Development Scenario
- IEA 2DS
- IEA B2DS
- PRI Inevitable Policy Response "Forecast Policy Scenario"
- Rockström et al. (2017) 1.5°C Scenario
- Custom scenarios developed for the entity
- Other: _____

$\frac{1}{3}$ The process identifies material climate-related physical risk factors

Name the material risk factor(s) identified:

- Physical risk factor 1: _____
- Physical risk factor 2: _____
- Physical risk factor 3: _____

Material risk(s) identified for the entity are disclosed to (if disclosed):

- The public

Investors upon request

Other stakeholders upon request

Other: _____

Can the entity provide evidence as an UPLOAD or URL?

Yes

UPLOAD or URL _____

Indicate where in the evidence the relevant information can be found _____

No

No

Provide additional context for the answer provided (maximum 250 words)

2 points

The scoring of this indicator is equal to the fraction assigned to the selected option, multiplied by the total score of the indicator.

RS6 Does the organization have a systematic process to assess the entity's exposure to social risks?

Yes

$\frac{1}{3}$ The process is documented

The nature of the process is disclosed to (if disclosed):

- The public
- Investors upon request
- Other stakeholders upon request
- Other: _____

$\frac{1}{3}$ The process considers forward-looking scenarios

Select all that apply:

- Representative Concentration Pathway 2.6
- Representative Concentration Pathway 4.5
- Representative Concentration Pathway 6.0
- Representative Concentration Pathway 8.5
- IEA Current Policies Scenario
- IEA Stated Policies Scenario
- IEA Sustainable Development Scenario
- IEA 2DS
- IEA B2DS
- PRI Inevitable Policy Response "Forecast Policy Scenario"
- Rockström et al. (2017) 1.5°C Scenario
- Custom scenarios developed for the entity
- Other: _____

$\frac{1}{3}$ The process identifies material climate-related social risk factors

Name the material risk factor(s) identified:

- Social risk factor 1: _____
- Social risk factor 2: _____
- Social risk factor 3: _____

Material risk(s) identified for the entity are disclosed to (if disclosed):

- The public
- Investors upon request

Other stakeholders upon request

Other: _____

Can the entity provide evidence as an UPLOAD or URL?

Yes

UPLOAD or URL_____

Indicate where in the evidence the relevant information can be found_____

No

No

Provide additional context for the answer provided (maximum 250 words)

2 points

The scoring of this indicator is equal to the fraction assigned to the selected option, multiplied by the total score of the indicator.

RS7 Has the organization assessed the potential financial impacts of climate-related risks on the entity?

Yes

Please describe the potential impacts that each of the risk factors identified in RS4, RS5, and RS6 have on the entity (select all that apply):

¹/₃ Transition risks

Transition risk 1

Please describe the potential financial impact of the transition risk factor 1 (identified in RS4) on the entity:

On what timeframe is this risk expected to impact the entity?

- It is already impacting the entity.
- Within the timeframe of the investment horizon of the entity.
- After the timeframe of the investment horizon of the entity but within the lifetime of the real assets included in the entity.

Transition risk 2

Please describe the potential financial impact of the transition risk factor 2 (identified in RS4) on the entity:

On what timeframe is this risk expected to impact the entity?

- It is already impacting the entity.
- Within the timeframe of the investment horizon of the entity.
- After the timeframe of the investment horizon of the entity but within the lifetime of the real assets included in the entity.

Transition risk 3

Please describe the potential financial impact of the transition risk factor 3 (identified in RS4) on the entity:

On what timeframe is this risk expected to impact the entity?

- It is already impacting the entity.
- Within the timeframe of the investment horizon of the entity.
- After the timeframe of the investment horizon of the entity but within the lifetime of the real assets included in the entity.

¹/₃ Physical risks

Physical risk 1

Please describe the potential financial impact of the physical risk factor 1 (identified in RS5) on the entity:

On what timeframe is this risk expected to impact the entity?

- It is already impacting the entity.

It is already impacting the entity.

Within the timeframe of the investment horizon of the entity.

After the timeframe of the investment horizon of the entity but within the lifetime of the real assets included in the entity.

Physical risk 2

Please describe the potential financial impact of the physical risk factor 2 (identified in RS5) on the entity:

On what timeframe is this risk expected to impact the entity?

It is already impacting the entity.

Within the timeframe of the investment horizon of the entity.

After the timeframe of the investment horizon of the entity but within the lifetime of the real assets included in the entity.

Physical risk 3

Please describe the potential financial impact of the physical risk factor 3 (identified in RS5) on the entity:

On what timeframe is this risk expected to impact the entity?

It is already impacting the entity.

Within the timeframe of the investment horizon of the entity.

After the timeframe of the investment horizon of the entity but within the lifetime of the real assets included in the entity.

^{1/3} Social risks

Social risk 1

Please describe the potential financial impact of the social risk factor 1 (identified in RS6) on the entity:

On what timeframe is this risk expected to impact the entity?

It is already impacting the entity.

Within the timeframe of the investment horizon of the entity.

After the timeframe of the investment horizon of the entity but within the lifetime of the real assets included in the entity.

Social risk 2

Please describe the potential financial impact of the social risk factor 2 (identified in RS6) on the entity:

On what timeframe is this risk expected to impact the entity?

It is already impacting the entity.

Within the timeframe of the investment horizon of the entity.

- After the timeframe of the investment horizon of the entity but within the lifetime of the real assets included in the entity.

Social risk 3

Please describe the potential financial impact of the social risk factor 3 (identified in RS6) on the entity:

On what timeframe is this risk expected to impact the entity?

- It is already impacting the entity.
- Within the timeframe of the investment horizon of the entity.
- After the timeframe of the investment horizon of the entity but within the lifetime of the real assets included in the entity.

Can the entity provide evidence as an UPLOAD or URL?

- Yes

UPLOAD or URL_____

Indicate where in the evidence the relevant information can be found_____

- No

- No

Provide additional context for the answer provided (maximum 250 words)

2 points

The scoring of this indicator is equal to the fraction assigned to the selected option, multiplied by the total score of the indicator.

RS8 Has the organization implemented resilience-related business strategies associated with the entity during the reporting year?

Yes

Please select and describe asset type-specific strategies used to create value and/or manage the (potential) risk impacts described in RS7 (select all that apply):

New construction projects

$\frac{1}{3}$ Transition risk management and value creation strategies: _____

1 $\frac{1}{3}$ Physical risk management and value creation strategies: _____

$\frac{1}{3}$ Social risk and management value creation strategies: _____

Standing investments

$\frac{1}{3}$ Transition risk management and value creation strategies: _____

1 $\frac{1}{3}$ Physical risk management and value creation strategies: _____

$\frac{1}{3}$ Social risk management and value creation strategies: _____

New acquisitions

$\frac{1}{3}$ Transition risk management and value creation strategies: _____

1 $\frac{1}{3}$ Physical risk management and value creation strategies: _____

$\frac{1}{3}$ Social risk management and value creation strategies: _____

Can the entity provide evidence as an UPLOAD or URL?

Yes

UPLOAD or URL _____

Indicate where in the evidence the relevant information can be found _____

No

No

Provide additional context for the answer provided (maximum 250 words)

2 points

The scoring of this indicator is equal to the sum of the fractions assigned to the selected options and respective sub-options, multiplied by the total score of the indicator. The total score for this indicator is capped at the maximum point value of the indicator.

RS9 Did the organization have specific climate risk and/or resilience-related targets or goals associated with the entity during the reporting year?

Yes

Please describe climate-related risk management and/or resilience-related targets:

$\frac{1}{3}$ Transition risk management and value creation

Targets or goals: _____

Target year: _____

Transition risk management and value creation targets and goals are disclosed to (if disclosed):

- The public
- Investors upon request
- Other stakeholders upon request
- Other: _____

$\frac{1}{3}$ Physical risk management and value creation

Targets or goals: _____

Target year: _____

Physical risk management and value creation targets and goals are disclosed to (if disclosed):

- The public
- Investors upon request
- Other stakeholders upon request
- Other

$\frac{1}{3}$ Social risk management and value creation

Targets or goals: _____

Target year: _____

Social risk management and value creation targets and goals are disclosed to (if disclosed):

- The public
- Investors upon request
- Other stakeholders upon request
- Other: _____

Can the entity provide evidence as an UPLOAD or URL?

Yes

UPLOAD or URL _____

Indicate where in the evidence the relevant information can be found_____

No

No

Provide additional context for the answer provided (maximum 250 words)

1.5 points

The scoring of this indicator is equal to the fraction assigned to the selected option, multiplied by the total score of the indicator.

RS10 Did the organization track specific climate risk and/or resilience-related performance metrics associated with the entity during the reporting year?

Yes

Please describe the metrics used to track outcomes:

^{1/3} Transition risk management and resilience performance metrics

List the metrics related to the most material issues facing the entity:

Tracking of performance metrics:

Continuous

Monthly

Quarterly

Annual

Other

These performance metrics are disclosed to (if disclosed):

The public

Investors upon request

Other stakeholders upon request

Other: _____

^{1/3} Physical risk management and resilience performance metrics

List the metrics related to the most material issues facing the entity:

Tracking of performance metrics:

Continuous

Monthly

Quarterly

Annual

Other

These performance metrics are disclosed to (if disclosed):

The public

Investors upon request

Other stakeholders upon request

Other: _____

¹/₃ Social risk management and resilience performance metrics

List the metrics related to the most material issues facing the entity:

Tracking of performance metrics:

Continuous

Monthly

Quarterly

Annual

Other

These performance metrics are disclosed to (if disclosed):

The public

Investors upon request

Other stakeholders upon request

Other: _____

Can the entity provide evidence as an UPLOAD or URL?

Yes

UPLOAD or URL _____

Indicate where in the evidence the relevant information can be found _____

No

No

Provide additional context for the answer provided (maximum 250 words)

1.5 points

The scoring of this indicator is equal to the fraction assigned to the selected option, multiplied by the total score of the indicator.