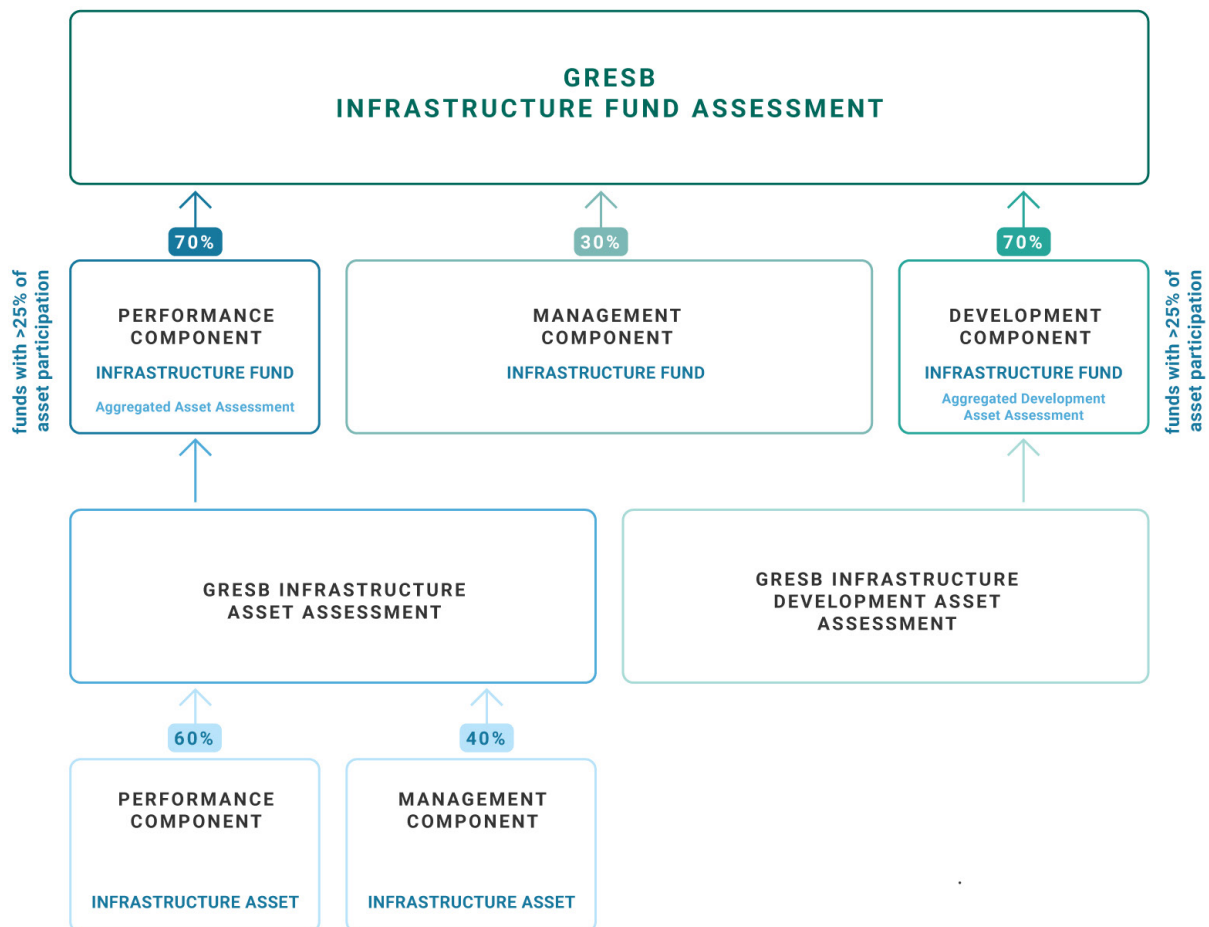


# GRESB Infrastructure Assessment



The GRESB Infrastructure Assessments are a trusted and transparent engagement and benchmarking tool for institutional investors, fund managers, infrastructure companies, and asset operators working in the infrastructure space. There are three complementary GRESB Infrastructure Assessments: a Fund Assessment, an Asset Assessment, and a Development Asset Assessment.



## Purpose of this Document

This document summarizes the full list of questions – called indicators – within each GRESB Infrastructure Assessment. It outlines each indicator code, title, score, and ‘Aspect’ to which it belongs. When applicable, it also reveals the following information:

### Pre-fill status

GRESB pre-fills certain assessment fields with the previous year’s response to mitigate reporting burden.

*Note that some indicators marked ‘Yes’ to being pre-filled are only partially pre-filled; some fields within it may require new input. GRESB encourages reviewing these indicator responses carefully.*

### Evidence validation status

GRESB and its third-party validation team manually validate certain evidence against reporting requirements. Refer to Appendix 4 of the [Reference Guides](#) for a high-level summary of these indicators’ validation requirements.

### Evidence pre-validation status

GRESB ‘pre-validates’ certain evidence if the participant’s response and supporting documentation remains consistent year-over-year. When applicable, this means previous year’s validation decision is carried over to the current submission.

## Fund

### ENTITY AND REPORTING CHARACTERISTICS

All indicators in the Entity & Reporting Characteristics Aspect are unscored and none require evidence.

ASPECT	INDICATOR CODE	SUMMARIZED INDICATORS	PRE-FILL
Entity Characteristics	EC1	Reporting entity	X
	EC2	Nature of ownership	X
	EC3	Entity commencement date	X
	EC4	Reporting year	X
Reporting Characteristics	RC1	Reporting currency	X
	RC2	Economic size	X
	RC3	Sector & geography	X
	RC4	Nature of the entity’s business	X
	RC5	Description of the fund	X
	RC6	Portfolio of entity assets	X

## MANAGEMENT

ASPECT	INDICATOR CODE	SUMMARIZED INDICATORS	PRE-FILL	EVIDENCE VALIDATED	EVIDENCE PRE-VALIDATED	MAX SCORE   WEIGHT IN COMPONENT
Leadership	LE1	ESG Leadership Commitments	X	X		0   0%
	LE2	Responsible investment strategy	X	X	X	1.62   5.4%
	LE3	ESG, climate-related, and/or Human Capital senior decision-maker	X			1.62   5.4%
	LE4	Personnel ESG performance targets	X	X	X	1.62   5.4%
Policies	PO1	Environmental policies	X	X		1.08   3.6%
	PO2	Social policies	X			1.08   3.6%
	PO3	Government policies	X			1.08   3.6%
Targets	T1	Net Zero targets	X			0   0%
Reporting	RP1	ESG Reporting	X	X		3.24   10.8%
	RP2.1	ESG incident monitoring	X			1.62   5.4%
	RP2.2	ESG incident occurrences				0   0%
Risk Management	RM1.1	ESG due diligence for new acquisitions	X	X		4.47   15%
	RM1.2	ESG risks and opportunities	X			4.47   15%
	RM2	Resilience strategy to climate-related risks and opportunities	X			0.54   1.8%
	RM3.1	Transition risk identification	X	X		0.54   1.8%
	RM3.2	Transition risk impact assessment	X	X		0.54   1.8%
	RM3.3	Physical risk identification	X	X		0.54   1.8%

ASPECT	INDICATOR CODE	SUMMARIZED INDICATORS	PRE-FILL	EVIDENCE VALIDATED	EVIDENCE PRE-VALIDATED	MAX SCORE   WEIGHT IN COMPONENT
Risk Management	RM3.4	Physical risk impact assessment	X	X		0.54   1.8%
	RM3.5	Climate-related opportunities identification	X	X		0.54   1.8%
	RM3.6	Climate-related opportunities impact assessment	X	X		0.54   1.8%
Stakeholder Engagement	SE1	Employee engagement program	X			1.08   3.6%
	SE2	Employee training				1.08   3.6%
	SE3	Employee satisfaction monitoring	X			1.08   3.6%
	SE4	Inclusion & diversity	X			1.08   3.6%

# Asset

## ENTITY AND REPORTING CHARACTERISTICS

All indicators in the Entity & Reporting Characteristics Aspect are unscored and none require evidence.

ASPECT	INDICATOR CODE	SUMMARIZED INDICATORS	PRE-FILLED?
Entity Characteristics	EC1	Reporting entity	X
	EC2	Nature of ownership	X
	EC3	Entity commencement date	X
	EC4	Reporting year	X
Reporting Characteristics	RC1	Reporting currency	X
	RC2	Economic size	X
	RC3	Sector & geography	X
	RC4	Ancillary activities	X
	RC5	Nature of entity's business	X
	RC6	Description of the asset	X
	RC7	GRESB materiality assessment	X

## MANAGEMENT

ASPECT	INDICATOR CODE	SUMMARIZED INDICATORS	PRE-FILL	EVIDENCE VALIDATED	EVIDENCE PRE-VALIDATED	MAX SCORE   WEIGHT IN COMPONENT
Leadership	LE1	ESG leadership commitments	X			0   0%
	LE2	ESG objectives	X	X		3.26   8%
	LE3	ESG, climate-related and/or Human Capital senior decision maker	X			1.65   4%
	LE4	Personnel ESG performance targets	X	X	X	3.26   8%

ASPECT	INDICATOR CODE	SUMMARIZED INDICATORS	PRE-FILL	EVIDENCE VALIDATED	EVIDENCE PRE-VALIDATED	MAX SCORE   WEIGHT IN COMPONENT
Policies	PO1	Policies on environmental issues	X	X	X	1.65   4%
	PO2	Policies on social issues	X	X	X	1.65   4%
	PO3	Policies on governance issues	X	X	X	1.65   4%
Reporting	RP1	ESG Reporting	X	X		3.26   8%
	RP2.1	ESG incident monitoring	X			1.65   4%
	RP2.2	ESG incident occurrences				0   0%
Risk Management	RM1	Management systems	X	X		2.85   7%
	RM2.1	Environmental risk assessment	X	X		2.85   7%
	RM2.2	Social risk assessment	X	X		2.85   7%
	RM2.3	Governance risk assessment	X	X		2.85   7%
	RM3	Resilience strategy to climate-related risks and opportunities	X			0.57   1%
	RM4.1	Transition risk identification	X	X		0.57   1%
	RM4.2	Transition risk impact assessment	X	X		0.57   1%
	RM4.3	Physical risk identification	X	X		0.57   1%
	RM4.4	Physical risk impact assessment	X	X		0.57   1%
	RM4.5	Climate-related opportunity identification	X	X		0.57   1%
	RM4.6	Climate-related opportunity impact assessment	X	X		0.57   1%

ASPECT	INDICATOR CODE	SUMMARIZED INDICATORS	PRE-FILL	EVIDENCE VALIDATED	EVIDENCE PRE-VALIDATED	MAX SCORE   WEIGHT IN COMPONENT
Stakeholder Engagement	SE1	Stakeholder engagement program	X			3.26   8%
	SE2	Supply chain engagement program	X			1.65   4%
	SE3	Stakeholder grievance process	X			1.65   4%

**PERFORMANCE**

ASPECT	INDICATOR CODE	SUMMARIZED INDICATORS	PRE-FILL	EVIDENCE VALIDATED	MAX SCORE   WEIGHT IN COMPONENT
Energy	EN1	Energy	X		4.29   7%
GHG	GH1	Greenhouse gas emissions	X	X	4.29   7%
Air Pollution	AP1	Air Pollution	X		4.29   7%
Water	WT1	Water inflows/withdrawals	X		4.29   7%
	WT2	Water outflows/discharges	X		4.29   7%
Waste	WS1	Waste	X		4.29   7%
Biodiversity & Habitat	BI1	Biodiversity & Habitat	X		4.29   7%
Health & Safety	HS1	Health & safety: employees	X		4.29   7%
	HS2	Health & safety: contractors	X		4.29   7%
	HS3	Health & safety: users	X		4.29   7%
	HS4	Health & safety: community	X		4.29   7%
Customers	CU1	Customer satisfaction monitoring	X		4.29   7%
Certifications	CA1	Infrastructure certifications	X		0   0%

# Development Asset

## ENTITY AND REPORTING CHARACTERISTICS

All indicators in the Entity & Reporting Characteristics Aspect are unscored and none require evidence.

ASPECT	INDICATOR CODE	SUMMARIZED INDICATORS	PRE-FILLED?
Entity Characteristics	EC1	Reporting entity	X
	EC2	Nature of ownership	X
	EC3	Entity commencement date	X
	EC4	Reporting year	X
Reporting Characteristics	RC1	Reporting currency	X
	RC2	Economic size	X
	RC3	Sector & geography	X
	RC4	Nature of entity's business	X
	RC5	Description of the asset	X
	RC6	GRESB materiality assessment	X

## DEVELOPMENT

GRESB does not pre-validate any evidence submitted to the Development Asset Assessment

ASPECT	INDICATOR CODE	SUMMARIZED INDICATORS	PRE-FILL	EVIDENCE VALIDATED	MAX SCORE   WEIGHT IN COMPONENT
Leadership	LE1	ESG leadership commitments	X		0   0%
	LE2	ESG objectives	X	X	4.81   40%
	LE3	ESG, climate-related and/or Human Capital senior decision maker	X		2.44   20%
	LE4	Personnel ESG performance targets	X	X	4.81   40%



ASPECT	INDICATOR CODE	SUMMARIZED INDICATORS	PRE-FILL	EVIDENCE VALIDATED	MAX SCORE   WEIGHT IN COMPONENT
Policies	P01	Policies on environmental issues	X		2.44   33%
	P02	Policies on social issues	X		2.44   33%
	P03	Policies on governance issues	X		2.44   33%
Reporting	RP1	ESG Reporting	X	X	4.81   66%
	RP2.1	ESG incident monitoring	X		2.44   34%
	RP2.2	ESG incident occurrences			0   0%
Risk Management	RM1	Management systems	X	X	3.70   16%
	RM2.1	Environmental risk assessment	X		3.44   15%
	RM2.2	Social risk assessment	X		3.44   15%
	RM2.3	Governance risk assessment	X		4.74   21%
	RM3.1	Environmental risk assessment - construction	X		0.79   3%
	RM3.2	Social risk assessment - construction	X		0.79   3%
	RM4	Resilience strategy to climate-related risks and opportunities	X		0.85   4%
	RM5.1	Transition risk identification	X	X	0.85   4%
	RM5.2	Transition risk impact assessment	X	X	0.85   4%
	RM5.3	Physical risk identification	X	X	0.85   4%
	RM5.4	Physical risk impact assessment	X	X	0.85   4%
	RM5.5	Climate-related opportunity identification			
RM5.6	Climate-related opportunity impact assessment				

ASPECT	INDICATOR CODE	SUMMARIZED INDICATORS	PRE-FILL	EVIDENCE VALIDATED	MAX SCORE   WEIGHT IN COMPONENT
Stakeholder Engagement	SE1	Stakeholder engagement program	X		4.81   50%
	SE2	Supply chain engagement program	X		2.44   25%
	SE3	Stakeholder grievance process	X		2.44   25%
GHG	GH1	Net Zero	X		1.40   37%
	GH2	Lifecycle assessment	X		2.43   63%
	GH3	Embodied carbon emission	X		0   0%
Materials	MA1	Materials selection	X		2.43   100%
Site Selection	SA1	Previous site use	X		0   0%
Health & Safety	HS1	Health & safety: employees	X*		6.91   33%
	HS2	Health & safety: contractors	X*		6.91   33%
	HS3	Health & safety: community	X*		6.91   33%
Employees	EM1	Employee engagement	X		4.62   47%
	EM2	Diversity, equity, and inclusion - employees	X		5.24   53%
Contractors	CO1	Contractor engagement	X		2.31   58%
	CO2	Diversity, equity, and inclusion - contractors	X		1.67   42%
Certifications & Awards	CA1	Infrastructure certifications			0   0%
	CA2	Awards			0   0%

