About GRESB

GRESB is an investor-driven organization that is transforming the way we assess the environmental, social and governance (ESG) performance of real assets globally, including real estate portfolios and infrastructure assets. More than 250 members, of which about 60 are pension funds and their fiduciaries, use the GRESB data in their investment management and engagement process, with a clear goal to optimize the risk/return profile of their investments. GRESB has assessed more than 1,100 property companies and funds, on behalf of more than 60 institutional investors.

About the 2017 GRESB Infrastructure Assessment

The GRESB Infrastructure Assessment is a unique tool for systematic assessment, objective scoring, and peer benchmarking of the ESG performance of infrastructure investments. The GRESB Infrastructure Assessment is designed to address the need of institutional investors for information about critical aspects of ESG performance through a flexible, globally applicable reporting and benchmarking framework.

The GRESB Infrastructure Assessment has an initial focus on operating investments, infrastructure assets, companies and funds. GRESB Infrastructure covers a variety of infrastructure sectors, including:

- Energy generation (including renewables)
- Energy distribution
- Telecommunications
- Transportation
- Water supply and treatment
- Social infrastructure (e.g., convention, aged care, schools, others)

The GRESB Infrastructure Assessment provides infrastructure investors with actionable information and the tools they need to accurately monitor and manage the sustainability risks of their assets, and to prepare for increasingly rigorous ESG obligations. GRESB Infrastructure provides a consistent framework for investors to collect and compare key ESG and related performance metrics across their infrastructure assets worldwide.

Subscribers to GRESB Infrastructure can use the information provided by GRESB to better understand immediate sustainability risks, to engage with the management of their investments, to take advantage of ESG-related investment opportunities and to report to constituents and other stakeholders.
## Entity and Reporting Characteristics

### Entity Characteristics

<table>
<thead>
<tr>
<th>EC1</th>
<th><strong>Name of entity</strong></th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>Legal name___________</td>
</tr>
<tr>
<td></td>
<td>Fund manager_________</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>EC2</th>
<th><strong>Business location</strong></th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>Fund Registered Office</td>
</tr>
<tr>
<td></td>
<td>Street address__________</td>
</tr>
<tr>
<td></td>
<td>City_________________</td>
</tr>
<tr>
<td></td>
<td>Postcode______________</td>
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<tr>
<td></td>
<td>Country__________________</td>
</tr>
<tr>
<td></td>
<td>Fund Manager Office</td>
</tr>
<tr>
<td></td>
<td>Street address__________</td>
</tr>
<tr>
<td></td>
<td>City_________________</td>
</tr>
<tr>
<td></td>
<td>Postcode______________</td>
</tr>
<tr>
<td></td>
<td>Country__________________</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>EC3</th>
<th><strong>Nature of the fund entity</strong></th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>Listed fund</td>
</tr>
<tr>
<td></td>
<td>ISIN ______________</td>
</tr>
<tr>
<td></td>
<td>Other identifier ______________</td>
</tr>
<tr>
<td></td>
<td>Private fund</td>
</tr>
<tr>
<td></td>
<td>Open end fund</td>
</tr>
<tr>
<td></td>
<td>Closed end fund</td>
</tr>
<tr>
<td></td>
<td>Other_________________</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>EC4</th>
<th><strong>Reporting period</strong></th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>Calendar year</td>
</tr>
<tr>
<td></td>
<td>Fiscal year: Specify the starting month and year______________</td>
</tr>
</tbody>
</table>
EC5  Does the fund have sustainable investment objectives? (for reporting purposes only)

☐ Yes

Describe the objectives and how they are implemented (maximum 250 words)

☐ No

EC6  Does the fund have an ESG strategy? (for reporting purposes only)

☐ Yes

Describe the strategy and how it is implemented (maximum 250 words)

☐ No

Reporting Characteristics

RC1  Reporting currency

Values are reported in

☐ Australian Dollar (AUD)
☐ Brazilian Real (BRL)
☐ Canadian Dollar (CAD)
☐ Chinese Yuan (CNY)
☐ Danish Krone (DKK)
☐ Euro (EUR)
☐ Hong Kong Dollar (HKD)
☐ Indian Rupee (INR)
☐ Japanese Yen (JPY)
☐ Malaysian Ringgit (MYR)
☐ Mexican Peso (MXN)
☐ Pound Sterling (GBP)
☐ Singapore Dollar (SGD)
☐ South African Rand (ZAR)
☐ South Korean Won (KRW)
☐ Swedish Krona (SEK)
☐ Swiss Franc (CHF)
☐ United States Dollar (USD)
☐ Other ____________________
RC2  Sectoral Focus
The sectoral focus is
○ Diversified
○ Sector specific
  ○ Energy, conventional
  ○ Energy, renewable
  ○ Telecommunications
  ○ Water resources
  ○ Waste
  ○ Transportation
  ○ Social
  ○ Other___________________

RC3  Capital commitment and asset value
Primary measure(s) of the economic size of the entity [in millions]
☐ Committed capital [requirement]___________________  Currency
☐ Invested capital___________________  Currency
☐ Net asset value___________________  Currency
☐ Other___________________  Currency

RC4  Geographic Focus
○ Globally diversified
○ Regional focus
  ○ North America
  ○ Europe
  ○ Oceania
  ○ Asia
  ○ Central America
  ○ South America
  ○ Middle East
  ○ Africa
  ○ Other___________________
**FUND1**  Does the organization have an ESG policy or policies that apply to this entity?

- Yes

  Policies address (select all that apply)
  - Environmental issues
    Last reviewed and/or updated __________________
  - Social issues
    Last reviewed and/or updated __________________
  - Governance issues
    Last reviewed and/or updated __________________

Can the entity provide evidence as an Upload or URL?

- Yes
  Upload OR URL ________________________________________
  Indicate where in the evidence the relevant information can be found___

- No

Provide additional context for the answer provided (maximum 250 words)

__________________________________________________________________________
Has the organization made a commitment to ESG leadership standards or groups that applies to investments in this entity?

- Yes
  
  Formal commitments include (select all that apply)
  
  - UN Global Compact
  - PRI
  
  If so, did you report? (for reporting purposes only)
  
  - Yes
    
    Can you upload the report?
    
    - Yes
      
      OR URL____________________
    
    - No
      
      No, please explain why____________________
  
  - Equator Principles
  - International Labour Organization (ILO) Standards
  - Institutional Investors Group on Climate Change (IIGCC)
  - Other____________________

  Can the entity provide evidence as an Upload or URL?
  
  - Yes
    
    OR URL____________________
    
    Indicate where in the evidence the relevant information can be found____
  
  - No

  No

Provide additional context for the answer provided (maximum 250 words)

___________________________________________________________
FUND3  Does the entity have an individual with responsibility for the implementation of ESG issues?

- Yes
  
  Provide the details of the individual responsible
  
  Name/organization name ____________________________
  
  Job title ________________________________
  
  E-mail _________________________________
  
  LinkedIn profile (optional) ______________________
  
  The individual is a(n)
  
  - Dedicated employee for whom sustainability is the core responsibility
  - Employee for whom sustainability is among their responsibilities
  - External consultant/manager
  - Other ______________________

- No
  
  Provide additional context for the answer provided (maximum 250 words)
  
  ______________________________________________________

FUND4  Does the entity have a senior decision-maker accountable for ESG issues?

- Yes
  
  Provide the details for the most senior decision-maker on sustainability issues
  
  Name/organization name ____________________________
  
  Job title ________________________________
  
  E-mail _________________________________
  
  LinkedIn profile (optional) ______________________
  
  The individual is part of
  
  - Senior management team
  - Investment committee
  - Investment team
  - Asset management team
  - Other ______________________

- No
  
  Provide additional context for the answer provided (maximum 250 words)
  
  ______________________________________________________
FUND5  Does the organization formally include ESG risks and/or opportunities in its investment processes?

Yes

Elements of the investment process

- Screening
  Describe how ESG risks and/or opportunities are integrated in the screening process and describe which tools are used

- Due diligence
  Describe how ESG risks and/or opportunities are integrated in the due diligence process and describe which tools are used

- Investment decision making
  Describe how ESG risks and/or opportunities are integrated in the investment decision making process and describe which tools are used

- Other ____________
  Describe how ESG risks and/or opportunities are integrated in the process and describe which tools are used

Can the entity provide evidence as an Upload or URL?

Yes

Upload OR URL ________________________________________

Indicate where in the evidence the relevant information can be found___

No
Does the organization formally include ESG risks and/or opportunities in its investment monitoring process/asset management?

- Yes

<table>
<thead>
<tr>
<th>Elements included (1)</th>
<th>Description (2)</th>
<th>Frequency (3)</th>
</tr>
</thead>
<tbody>
<tr>
<td>Select classification</td>
<td>Select frequency</td>
<td></td>
</tr>
<tr>
<td>Select classification</td>
<td>Select frequency</td>
<td></td>
</tr>
<tr>
<td>Select classification</td>
<td>Select frequency</td>
<td></td>
</tr>
</tbody>
</table>

Can the entity provide evidence as an Upload or URL?

- Yes

Upload OR URL ______________________________________

Indicate where in the evidence the relevant information can be found___

- No

Provide additional context for the answer provided (maximum 250 words)

_________________________________________________________________

Explanation of fields in table

1. Classify the element
   - Integrate ESG-related issues into business plans
   - Reporting of ESG-related KPIs
   - Discuss ESG-related issues during Board meetings
   - Other communications with management _________
   - Other _____________________

2. Provide a description

3. Classify the frequency
   - weekly
   - monthly
   - quarterly
   - annually
   - ad hoc
   - other _________
   - n/a
Does the organization collect information about the ESG management and performance for the assets in this entity?

☐ Yes

Based on the information collected, does the entity

☐ Track real time performance of assets
☐ Track performance against targets
☐ Analyze performance trends over time
☐ Compare performance to peers or benchmarks
☐ Other ______

Can the entity provide evidence as an Upload or URL?

☐ Yes

Upload OR URL _______________________________________

Indicate where in the evidence the relevant information can be found___

☐ No

Provide additional context for the answer provided (maximum 250 words)

_________________________________________________________________
**FUND8**

Does the organization communicate about the ESG management, implementation and performance of this entity?

- Yes

Select all of the following applicable to the entity:

- Section in Annual Report
  - Upload OR URL ________________________________
  - Indicate where in the evidence the relevant information can be found___

Select the applicable reporting level:

- Organization
- Investment manager or business unit
- Fund

Aligned with third-party standard: Guideline name

- Stand-alone sustainability report
  - Upload OR URL ________________________________
  - Indicate where in the evidence the relevant information can be found___

Frequency of reporting __________

Select the applicable reporting level:

- Organization
- Investment manager or business unit
- Fund

Aligned with third-party standard: Guideline name

- Integrated Report
  - Upload OR URL ________________________________
  - Indicate where in the evidence the relevant information can be found___

Frequency of reporting __________

Select the applicable reporting level:

- Organization
- Investment manager or business unit
- Fund

Aligned with third-party standard: Guideline name
Section on organizational website

Upload OR URL ____________________________

Indicate where in the evidence the relevant information can be found _____

Select the applicable reporting level

☐ Organization

☐ Investment manager or business unit

☐ Fund

Aligned with third-party standard

Entity reporting to investors

Upload OR URL ____________________________

Indicate where in the evidence the relevant information can be found _____

Frequency of reporting ______________

Select the applicable reporting level

☐ Organization

☐ Investment manager or business unit

☐ Fund

Aligned with third-party standard

Other________________

Upload OR URL ____________________________

Indicate where in the evidence the relevant information can be found _____

Frequency of reporting ______________

Select the applicable reporting level

☐ Organization

☐ Investment manager or business unit

☐ Fund

Aligned with third-party standard

☐ No

Provide additional context for the answer provided (maximum 250 words)
FUND9  Does a third party review the organization’s ESG disclosures?

☐ Yes

Select all of the following applicable to the entity

☐ Section in Annual Report
  ☐ Internally verified by [name/department] _________________
  ☐ Externally checked by _________________
  ☐ Externally verified by _________________
  ☐ Externally assured by _________________

☐ Stand-alone sustainability report
  ☐ Internally verified by [name/department] _________________
  ☐ Externally checked by _________________
  ☐ Externally verified by _________________
  ☐ Externally assured by _________________

☐ Integrated Report
  ☐ Internally verified by [name/department] _________________
  ☐ Externally checked by _________________
  ☐ Externally verified by _________________
  ☐ Externally assured by _________________

☐ Investor reporting
  ☐ Internally verified by [name/department] _________________
  ☐ Externally checked by _________________
  ☐ Externally verified by _________________
  ☐ Externally assured by _________________

☐ Other _________________
  ☐ Internally verified by [name/department] _________________
  ☐ Externally checked by _________________
  ☐ Externally verified by _________________
  ☐ Externally assured by _________________

Can the entity provide evidence as an Upload or URL?

☐ Yes
  Upload OR URL ________________________________________
  Indicate where in the evidence the relevant information can be found___

☐ No

☐ No

Provide additional context for the answer provided (maximum 250 words)
FUND10  Does the organization communicate information about ESG-related misconduct, penalties, incidents or accidents associated with the entity?

☐ Yes

The entity would communicate misconduct, penalties, incidents or accidents to

☐ Investors
☐ Public
☐ Other stakeholders _____________

Application of the communication process (for reporting purposes only)

☐ The entity has used one or more of these communication processes during the reporting period.

☐ The entity did not use any of these communication processes during the reporting period.

Describe the process (maximum 250 words)
_________________________________________________________________

☐ No

Provide additional context for the answer provided (maximum 250 words)
_________________________________________________________________
Summary of Entity Assets

Please summarize the entity’s assets using the below table.

<table>
<thead>
<tr>
<th>Asset Name</th>
<th>Asset Sector (1)</th>
<th>Asset Weight (2)</th>
<th>Nature of Investment (3)</th>
<th>Type of asset (4)</th>
<th>Ownership (5)</th>
<th>Contact Name (6)</th>
<th>E-mail (7)</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>Select sector</td>
<td>%</td>
<td>Select investment nature</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td>Select sector</td>
<td>%</td>
<td>Select investment nature</td>
<td></td>
<td></td>
<td></td>
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</tr>
<tr>
<td></td>
<td>Select sector</td>
<td>%</td>
<td>Select investment nature</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

Classify basis for weighting assets

- Fraction of asset value
- Even weights
- Other ________________

(1) Classification of primary business activity(ies) of the asset

- Energy, conventional
- Energy, renewable
- Telecommunications
- Water Resources
- Waste
- Transportation
- Social
- Other ________________

(2) Asset Weight
Assign weight for each asset ___%

(3) Classify nature of investment

- Equity investment
- Debt
- Other ________________

(4) Type of asset

- Operational
- Greenfield

(5) Classify ownership

- > 0, <25%
- >= 25, <= 50%
- >= 50, <75%
- >= 75, <100%
- 100%

(6) Contact name
List the name of the individual primarily responsible for ESG issues for the asset

(7) E-mail
Contact email for the individual primarily responsible for ESG issues for the asset

Provide additional context for the answer provided (maximum 250 words)