2016
GRESB Infrastructure Asset Assessment
April 1, 2016
## Entity & Reporting Characteristics

ER1: Legal name of the entity  
ER2: Location of the entity's headquarters office  
ER3: Countries of operation  
ER4: Reporting period  
ER5: Reporting currency  
ER6: Industry associations  
ER7: Nature of the entity  
ER8: Classification of economic size  
ER9: Infrastructure business activities and output measures

## Management

MA1: Does the entity specifically address ESG issues in its long-term strategic plan(s)?  
MA2: Does the entity have a senior decision-maker accountable for ESG issues?  
MA3: Are ESG factors included in performance targets for the entity’s senior leadership?  
MA4: Does the entity provide ESG-related training?

## Policy & Disclosure

PD1: Has the entity formally adopted a policy or policies on environmental issues?  
PD2: Has the entity formally adopted a policy or policies on social issues?  
PD3: Has the entity formally adopted a policy or policies on governance issues?  
PD4: Does the entity have a process for stakeholders to communicate grievances?  
PD5: Does the entity have third-party review of its ESG reporting and/or data?  
PD6: Did the entity publish formal impact assessments during the last 3 years?  
PD7: Does the entity communicate its ESG activities?  
PD8: Does the entity have a process to communicate about ESG-related misconduct or penalties?

## Risks & Opportunities

RO1: Does the entity assess and mitigate risks associated with environmental issues?  
RO2: Does the entity assess and mitigate risks associated with social issues?  
RO3: Does the entity assess and mitigate risks associated with governance issues?

## Implementation

IM1: Can the entity provide specific examples of actions taken to improve ESG management and performance?

## Monitoring & EMS

ME1: Does the entity have an Environmental Management System or comparable framework?  
ME2: Does the entity collect and manage ESG performance data?

## Stakeholder Engagement

SE1: Does the entity have a stakeholder engagement program?  
SE2: Can the entity describe specific examples of actions taken to implement its stakeholder engagement program?

## Performance Indicators

PI1: Can the entity report on measures of output?  
PI2: Can the entity report on health and safety performance over time?  
PI3: Can the entity report on energy generated and purchased over time?  
PI4: Can the entity report on greenhouse gas emissions over time?  
PI5: Can the entity report on air pollutant emissions over time?  
PI6: Can the entity report on water use over time?  
PI7: Can the entity report on waste generation and disposal over time?  
PI8: Can the entity report on biodiversity and habitat over time?

## Certifications & Awards

CA1: Did the entity maintain or achieve entity-level certifications for ESG-related management and/or performance?  
CA2: Did the entity maintain or achieve project-level certifications for ESG-related management and/or performance?  
CA3: Did the entity receive awards for ESG-related actions, performance, or achievements?  
CA4: Was the entity the subject of case studies, research, or similar publications describing its ESG management and/or performance?
**Entity & Reporting Characteristics**

**ER1  Legal name of the entity**

Common name: ____________________________
Legal name: ____________________________
Operator name: __________________________

**ER2  Location of the entity’s headquarters office**

Street address: __________________________
City: _________________________________
Postcode: ____________________________
Country: ______________________________

**ER3  Country/Countries of operation**

Select multiple and indicate estimated fraction of operations:

For each selected Country:

Country ____________________________: ____ % of operations

Explain method used to establish the percentage of operations in each country:
(maximum 250 words)

**ER4  Reporting period**

- Calendar year
- Fiscal year
  Specify the starting month ____________________

**ER5  Reporting currency**

Values are reported in:

- Euro (EUR)
- Pound Sterling (GBP)
- United States Dollar (USD)
- Australian Dollar (AUD)
- Brazilian Real (BRL)
- Canadian Dollar (CAD)
- Chinese Yuan (CNY)
- Danish Krone (DKK)
- Hong Kong Dollar (HKD)
- Indian Rupee (INR)
- Japanese Yen (JPY)
- Malaysian Ringgit (MYR)
- Mexican Peso (MXN)
- Singapore Dollar (SGD)
- South African Rand (ZAR)
- South Korean Won (KRW)
- Swedish Krona (SEK)
- Swiss Franc (CHF)
- Other ____________________________
Industry associations

List memberships in industry associations (e.g., GIIA, LTIIA, or sector-specific associations). Please include name of association and URL for association website: ____________________

Nature of the entity

The entity is a:
- Public company
  - ISIN: ____________________
  - Ticker Symbol: ____________________
  - Exchange (select all that apply): ________________
  - Other: ____________________
- Private company
- Non-profit organization
- Governmental organization
- Other: ____________________

Classification of economic size

Describe the entity’s economic size (e.g., regulated asset base, net asset value)

Value: ____________________

Units: ____________________

Infrastructure business activities and output measures

Select all applicable areas of infrastructure activity and assign a relative weight to each activity. This information will be used to identify peers with similar combinations of business activities. Weights must sum to 100%. The entity may assign even weights if percentage allocations cannot be calculated or represent proprietary information that cannot be conveyed to investors. Describe the weighting approach from the following options and explain the basis in the text box below:

Classify the method used to assign weights to business activities:
- Weights based on relative economic activity (e.g., revenue)
- Even weights
- Other method: ____________________

Provide additional context for the answer provided (maximum 250 words)
Energy generation

Fossil fuel generation (2211) [___%]

Regulatory regime(s)

Describe the entity's regulatory regime¹: _____

Type of system and measures of capacity and output (for use in Performance Indicator 1²), multiple selections are possible

- Coal
  Installed capacity: _____ [MW]
  Generation: _____ [MWh]

- Petroleum
  Installed capacity: _____ [MW]
  Generation: _____ [MWh]

- Natural gas
  Installed capacity: _____ [MW]
  Generation: _____ [MWh]

- Other gases:
  Installed capacity: _____ [MW]
  Generation: _____ [MWh]

- Other: ________________
  Installed capacity: _____ [MW]
  Generation: _____ [MWh]

Renewable generation, utility scale (22119) [___%]

Regulatory regime(s)

Describe the entity's regulatory regime: ________________

Type of system and measures of capacity and output (for use in Performance Indicator 1)

- Biomass, wood (221117)
  Installed capacity: _____ [MW]
  Generation: _____ [MWh]

- Biomass, waste (221117)
  Installed capacity: _____ [MW]
  Generation: _____ [MWh]

¹ “A regulatory regime is defined as a local, subnational, national, or regional area where there is a common regulatory framework.” [www.globalreporting.org/resourcelibrary/GRI-G4-Electric-Utilities-Sector-Disclosures.pdf]
² Classification follows U.S. Energy Information Agency, report installed (MW), generated (MWh)
Solid waste combustion/incineration (562213)

- Installed capacity: _____ [MW]
- Generation: _____ [MWh]

Geothermal (221116)

- Installed capacity: _____ [MW]
- Generation: _____ [MWh]

Solar/PV (221114)

- Installed capacity: _____ [MW]
- Generation: _____ [MWh]

Solar/CSP

- Installed capacity: _____ [MW]
- Generation: _____ [MWh]

Wind, on-shore (221115)

- Installed capacity: _____ [MW]
- Generation: _____ [MWh]

Wind, off-shore (221115)

- Installed capacity: _____ [MW]
- Generation: _____ [MWh]

Renewable hydroelectric power, dam

- Installed capacity: _____ [MW]
- Generation: _____ [MWh]

Renewable hydroelectric power, run-of-river

- Installed capacity: _____ [MW]
- Generation: _____ [MWh]

Other: ________________

- Installed capacity: _____ [MW]
- Generation: _____ [MWh]
Renewable generation, distributed (22119)

Regulatory regime(s)
Describe the entity’s regulatory regime: 

Type of generation and measures of capacity and output (for use in Performance Indicator 1)

- **Solar/PV (221114)**
  - Installed capacity: _____ [MW]
  - Generation: _____ [MWh]
  - Number of generation locations: _____

- **Other: ________________**
  - Installed capacity: _____ [MW]
  - Generation: _____ [MWh]

Other Generation (22119)

Regulatory regime(s)
Describe the entity’s regulatory regime: 

Type of generation and measures of capacity and output (for use in Performance Indicator 1)

- **Nuclear Electric Power (221113)**
  - Installed capacity: _____ [MW]
  - Generation: _____ [MWh]

- **Hydroelectric pumped storage**
  - Installed capacity: _____ [MW]
  - Generation: _____ [MWh]

- **Conventional (large) hydroelectric power (221111)**
  - Installed capacity: _____ [MW]
  - Generation: _____ [MWh]

- **Other: ________________**
  - Installed capacity: _____ [MW]
  - Generation: _____ [MWh]
Combined Heat and Power

Regulatory regime(s)

Describe the entity’s regulatory regime: _____

Type of system and measures of capacity and output (for use in Performance Indicator 1)

- Diesel engine
  - Electric, capacity: _____ [MW]
  - Generation: _____ [MWh]
  - Thermal, capacity: _____ [Btu/kWh]
  - Generation: _____ [MMBtu]

- Natural gas engine
  - Electric, capacity: _____ [MW]
  - Generation: _____ [MWh]
  - Thermal, capacity: _____ [Btu/kWh]
  - Generation: _____ [MMBtu]

- Steam turbine
  - Electric, capacity: _____ [MW]
  - Generation: _____ [MWh]
  - Thermal, capacity: _____ [Btu/kWh]
  - Generation: _____ [MMBtu]

- Gas turbine
  - Electric, capacity: _____ [MW]
  - Generation: _____ [MWh]
  - Thermal, capacity: _____ [Btu/kWh]
  - Generation: _____ [MMBtu]

- Micro-turbine
  - Electric, capacity: _____ [MW]
  - Generation: _____ [MWh]
  - Thermal, capacity: _____ [Btu/kWh]
  - Generation: _____ [MMBtu]

- Fuel cells
  - Electric, capacity: _____ [MW]
  - Generation: _____ [MWh]
  - Thermal, capacity: _____ [Btu/kWh]
  - Generation: _____ [MMBtu]
Other: _________________
Electric, capacity: ____ (MW)
Generation: ____ (MWh)
Thermal, capacity: ____ (Btu/kWh)
Generation: ____ (MMBtu)

**Energy Efficiency**

Nature of business activity

Describe the nature of the entity’s energy efficiency-related business activities: _________________

Type of projects

- Project finance
- Other: _________________

Primary measures of output (selected items will be used in Performance Indicator 1)

- Number of projects: ____
- Total electricity savings: ____ (MWh)
- Total thermal energy savings: ____ (MMBtu)
- Other: _________________ _____. ____ (units)

**Energy Transmission and Distribution**

**Electric Power Distribution**

Regulatory regime(s)

Describe the entity’s regulatory regime: _________________

Size of system, select all that apply:

- Length of distribution system: ____ , ____ (units)
- Other: _________________ ____ , ____ (units)

Number of accounts

- Residential: ____%
- Industrial: ____%
- Commercial: ____%

Primary measures of output (selected items will be used in Performance Indicator 1)

- Other: _________________ _____. ____ (units)
Electric Power Storage

Regulatory regime(s)
Describe the entity’s regulatory regime: _______________________

Type of storage, select all that apply:
- Solid state batteries
- Flow batteries
- Flywheels
- Compressed air
- Thermal
- Pumped hydro-power

Describe the size of the entity’s storage activity
- Total storage capacity: _____ , _____ (units)
- Other: _________________ _____ , _____ (units)

Primary measures of output (selected items will be used in Performance Indicator 1)
- Other: _________________

Natural Gas Distribution (221210)

Regulatory regime(s)
Describe the entity’s regulatory regime: _____

Type of pipeline (select all that apply)
- Transnational
- Interstate
- Local distribution
- Other: _________________

System size and capacity
- Maximum system capacity\(^1\): _____ , _____ (units)
- System length: _____ , _____ (units)
- Other: _________________ _____ , _____ (units)

Pipeline pressure
- High pressure: _____ , _____ (units)
- Low pressure: _____ , _____ (units)
- Other: _________________ _____ , _____ (units)

---

\(^1\) Capacity may be expressed in units such as million cubic feet per day or equivalent.
Primary measures of output (selected items will be used in Performance Indicator 1)

- Average volume distributed per day: _____, _____ (units)
- Total volume distributed: _____, _____ (units)
- Other: ________________ _____, _____ (units)

Natural Gas Storage (486210) [___%]

Regulatory regime(s)
Describe the entity’s regulatory regime: ________________

Type of natural gas storage
Describe the entity’s gas storage activity: _____

System size and capacity
- Capacity, maximum working gas volume: _____, _____ (units)
- Other: ________________ _____, _____ (units)

Primary measures of output (selected items will be used in Performance Indicator 1)

- Average daily working gas volume: _____, _____ (units)
- Annual total working gas volume: _____, _____ (units)
- Other: ________________ _____, _____ (units)

Telecommunications

Wired Telecommunications (517110) [___%]

Type of wired telecommunications
Describe the entity’s activities: ________________
Describe the system size: _____

Primary measures of output (selected items will be used in Performance Indicator 1)

- Other: ________________ _____, _____ (units)

Wireless Telecommunications (517210) [___%]

Type of wireless telecommunications
Describe the entity’s activities: _____

- Number (e.g., towers): _____
- Other: ________________

Primary measures of output (selected items will be used in Performance Indicator 1)

- Other: ________________ _____, _____ (units)
Water Resource Management

Flood control (237990)  ___%

Type of flood control activities
Describe the entity’s activities: ______________________

Type of activities
- Coastal flood control
- Riverine flood control
- Other: ______________________

System capacity or size
- Maximum size or capacity: _____ , _____ [units]
- Other: ______________________ _____ , _____ [units]

Primary measures of output (selected items will be used in Performance Indicator 1)
- Other: ______________________ _____ , _____ [units]

Coastal and Riverine Locks (4449)  ___%

Type of activities
Describe the entity’s activities: _____

Type of activities (select all that apply)
- Coastal locks
- Riverine locks
- Other: ______________________

System capacity or size
- Maximum size or capacity: _____ , _____ [units]
- Other: ______________________ _____ , _____ [units]

Primary measures of output (selected items will be used in Performance Indicator 1)
- Other: ______________________ _____ , _____ [units]
Water Supply

Type of water supply and irrigation systems

Describe the entity's activities: _____

Elements included:

- Water purification facilities
- Water storage facilities
- Pumping stations
- Pipe networks
- Other: ________________

Risk Metrics, use: aqueduct.wri.org

Location used in Aqueduct:

- Postal address
  - Street address: ________________
  - City: ________________
  - State: ________________
  - Country: _____
- Coordinates: _____, _____ (latitude, longitude)

Data from Aqueduct:

- Overall water risk: ________________
  - Unknown/data not available
- Physical risk, quantity: ________________
  - Unknown/data not available
- Physical risk, quality: ________________
  - Unknown/data not available
- Access to (clean) water: ________________
  - Unknown/data not available

System capacity or size

- Maximum size or capacity: _____, _____ [units]
- Other: ________________, _____, _____ [units]

Primary measures of output [selected items will be used in Performance Indicator 1]

- Volume of water supplied: _____, _____ [units]
- Other: ________________, _____, _____ [units]
Sewer Line Systems (237110)

Type of sewer line systems

Describe the entity’s activities: _____

Type of sewer line systems⁴ [select all that apply]

- Combined sewers
- Separate sewers
- Simplified sewers
- Solid free sewers
- Pressurized sewers
- Vacuum sewers
- Open channel drains
- Other: ________________

Location used in Aqueduct:

- Postal address
  - Street address: ________________
  - City: ________________
  - State: ________________
  - Country: ________________
- Coordinates: _____ , _____ (latitude, longitude)

Data from Aqueduct:

- Overall water risk: ________________
  - Unknown/data not available
- Physical risk, quantity: ________________
  - Unknown/data not available
- Physical risk, quality: ________________
  - Unknown/data not available
- Access to (clean) water: ________________
  - Unknown/data not available

System capacity and utilization

- Maximum size or capacity: _____ , _____ (units)
- Other: ________________ _____ , _____ (units)

⁴ See UNESCO
Primary measure(s) of output (selected items will be used in Performance Indicator 1)

- Volume of water treated: _____, ____ [units]
- Other: ________________ ____ , ____ [units]

**Sewage Treatment Facilities (221320) [___%]**

Type of operations
Describe the entity’s activities: _____

Risk Metrics, use: aqueduct.wri.org

Location used in Aqueduct:
- Postal address
  - Street address: ________________
  - City: ________________
  - State: ________________
  - Country: ________________
- Coordinates: _____, _____ (latitude, longitude)

Data from Aqueduct:
- Overall water risk: ________________
  - Unknown/data not available
- Physical risk, quantity: ________________
  - Unknown/data not available
- Physical risk, quality: ________________
  - Unknown/data not available
- Access to (clean) water: ________________
  - Unknown/data not available

Context
Total wastewater volume produced in the region: _____
Percentage of wastewater produced in the region: _____

System capacity and utilization
- Maximum size or capacity: _____, ____ [units]
- Other: ________________ ____ , ____ [units]

Primary measure(s) of output (selected items will be used in Performance Indicator 1)
- Volume of water treated: _____, ____ [units]
- Other: ________________ ____ , ____ [units]
Waste Treatment and Disposal

Nonhazardous Waste Treatment and Disposal (562219)
Type of operations
Describe the entity’s activities: _____

Primary measure(s) of output (selected items will be used in Performance Indicator 1)
- Waste handled: _____ , _____ [units]
- Other: ____________________ _____ , _____ [units]

Hazardous Waste Treatment and Disposal (562211)
Type of operations
Describe the entity’s activities: _____

Primary measure(s) of output (selected items will be used in Performance Indicator 1)
- Waste handled: _____ , _____ [units]
- Other: ____________________ _____ , _____ [units]

Transportation

Airport (488119)
Type of operations
Describe the entity’s activities: _____

Airport identifier
- IATA Code (IATA): _____

Type of airport (Classification from US FAA)
- Large hub
- Medium hub
- Small hub
- Non-hub primary
- Non-primary commercial service
- Reliever
- General aviation
- Other: ____________________

Primary measure(s) of output (selected items will be used in Performance Indicator 1)\(^5\)
- Total passengers: _____
- Total cargo: _____ [metric tons]
- Other: ____________________ _____ , _____ [units]

\(^5\) See US BTS or Airport Council International
\(^6\) Total airport cargo, see Airports Council International
**Railroad (4821)**

Type of operations

Describe the entity’s activities: _____

Type of rail network, select all that apply:

- Line-Haul Railroad (482111)
- Short Line Railroad (282112)
- Other: ________________

System size

- Length of rail system: _____, _____ [units]

Primary measure(s) of output (selected items will be used in Performance Indicator 1)

- Total passenger distance traveled: _____, _____ [units]
- Total cargo handled: _____, _____ [units]
- Other: ________________, _____, _____ [units]

**Rolling Stock (336510)**

Type of operations

Describe the entity’s activities: _____

Type of rolling stock:

- Aerial tram
- Automated guideway
- Heavy rail
- Hybrid rail
- Other: ________________

Primary measure(s) of output (selected items will be used in Performance Indicator 1)

- Number of rolling stock units: ____
- Total cargo handled: _____, _____ [units]
- Other: ________________, _____, _____ [units]
Ports (48831) [___%]

Type of operations
Describe the entity’s activities: ______

Primary measure(s) of output (selected items will be used in Performance Indicator 1)
- Container traffic (TEU): ______
- Total cargo handled: ______, ______ (units)
- Other: __________________________, ______, ______ (units)

Toll Road Operations (488490) [___%]

Type of operations
Describe the entity’s activities: ______

Type of roadway, select all that apply:
- Tunnel(s)
- Bridge(s)
- Road, single
- Road, multiple/network
- Other: __________________________

System size
- Length of roadway: ______, ______ (units)

Primary measure(s) of output (selected items will be used in Performance Indicator 1)
- Number of vehicles: ______
- Distance traveled: ______, ______ (units)
- Other: __________________________, ______, ______ (units)

---

7 TEU: twenty-foot equivalent units, see AAPA Port Rankings
Social

Schools (6111) ___%  

Type of operations
Describe the entity’s activities: ______

Type of school, select all that apply:
- Elementary and Secondary (611110)
- Junior college (611210)
- College, university (611310)
- Business, secretarial (611410)
- Miscellaneous education (611699)
- Other: _________________

Size and capacity
- Floor area of facilities (gross): _____, _____ (units)
- Number of facilities: ______
- Student population: ______
- Other: ___________________ _____, _____ (units)

Primary measure(s) of output (selected items will be used in Performance Indicator 1)
- Other: ___________________ _____, _____ (units)

Convention (531120) ___%  

Type of operations
Describe the entity’s activities: ______

Size and capacity
- Floor space of facilities (gross): _____, _____ (units)
- Number of facilities: ______
- Other: ___________________ _____, _____ (units)

Primary measure(s) of output (selected items will be used in Performance Indicator 1)
- Number of visitors: ______
- Other: ___________________ _____, _____ (units)
Government Buildings

Type of operations
Describe the entity’s activities: 

Type of government buildings (select all that apply):
- Education
- Food sales/service
- Health care (inpatient)
- Health care (outpatient)
- Lodging
- Mercantile
- Military
- Office
- Public assembly
- Public order and safety (including courts)
- Religious worship
- Service
- Warehouse and storage
- Other: 

Size
- Floor space of facilities (gross): , [units]
- Number of facilities: 
- Other: , [units]

Primary measure(s) of output (selected items will be used in Performance Indicator 1)
- Number of occupants: 
- Other: , [units]

Aged care (623110)

Type of operations
Describe the entity’s activities: 

Type of aged care
- Nursing care facilities (623110)
- Assisted living facilities (623312)
- Other: 

Size
- Floor space of facilities: , [units]
- Number of facilities: , [units]

Primary measure(s) of output (selected items will be used in Performance Indicator 1)
- Number of residents: 
- Other: , [units]
Correctional Facilities (922140)

Type of operations
Describe the entity’s activities: _____

Type of correctional facility
- Juvenile facilities
- Local jail
- State or regional prison
- National prison
- Other: ________________

Size
- Design capacity (number of beds): _____
- Floor space of facilities (gross): _____, _____ [units]
- Number of facilities: _____
- Other: ________________ _____, _____ [units]

Primary measure(s) of output (selected items will be used in Performance Indicator 1)
- Imprisoned population: _____
- Other: ________________ _____, _____ [units]

Provide additional context for the answer provided (maximum 250 words)
MA1  Does the entity specifically address ESG issues in its long-term strategic plan(s)?

○ Yes

Elements addressed in the entity’s long-term strategic plan(s):

- Environmental performance
- Social performance
- Governance performance

Can the entity provide evidence as an UPLOAD or URL?

○ Yes

  UPLOAD supporting evidence OR provide URL: _____________________________

Reference _____________________________

○ No

No

Provide additional context for the answer provided (maximum 250 words)

MA2  Does the entity have a senior decision-maker accountable for ESG issues?

○ Yes:

  Name _____________________________
  Job title _____________________________
  E-mail _____________________________
  LinkedIn profile (optional) __________

  This senior decision maker is part of the:

- Board of Directors
- Senior Management Team
- Other: _____________________________

○ No

No

Provide additional context for the answer provided (maximum 250 words)
Are ESG factors included in performance targets for the entity’s senior leadership?

- Yes
  
  Senior leadership has targets for:
  - Environment performance
  - Social performance
  - Governance performance
  - Other: __________________________

  Does performance on these targets have pre-determined consequences?
  - Yes
    - Positive consequences for meeting or exceeding ESG targets (select all that apply):
      - Positive recognition
      - Non-financial award
      - Financial award
      - Other consequences: __________________________

    - Negative consequences for not achieving ESG targets (select all that apply):
      - Negative recognition
      - Loss of financial compensation
      - Additional support, resources and/or training
      - Other consequences: __________________________

  - No

Can the entity provide evidence as an UPLOAD or URL?

- Yes
  - UPLOAD supporting evidence OR provide URL: __________________________

    Reference __________________________

  - No

- No

Provide additional context for the answer provided (maximum 250 words)
MA4 Does the entity provide ESG-related training?

- Yes

  Categorize ESG-related training provided to different categories of workers:
  - Employees
    - Environmental issues
    - Social issues
    - Governance issues
    - Other: ________________
  - Contractors
    - Environmental issues
    - Social issues
    - Governance issues
    - Other: ________________
  - Other: ________________
    - Environmental issues
    - Social issues
    - Governance issues
    - Other: ________________

Can the entity provide evidence as an UPLOAD or URL?

- Yes
  
  **UPLOAD** supporting evidence OR provide URL: ________________

  Reference ________________

- No

No

Provide additional context for the answer provided (maximum 250 words)
Has the entity formally adopted a policy or policies on environmental issues?

- Yes

Specific issues included in the entity’s policy or policies:

- Air pollutants
- Biodiversity and habitat protection
- Energy
- Greenhouse gas emissions
- Invasive species
- Resilience to catastrophe/disaster
- Resilience (adaptation) to climate change
- Water
- Waste
- Other: ________________

Policy or policies apply to:

- Employees and entity operations
- Contractors [ % of contractors ]
- Other: ________________

Can the entity provide evidence as an UPLOAD or URL?

- Yes

  UPLOAD supporting evidence OR provide URL: ________________

  Reference: ________________

- No

Provide additional context for the answer provided (maximum 250 words)

Contractors Covered by Entity ESG Policy/Policies

- < 0 %, < 25%
- ≥ 25%, < 50%
- ≥ 50%, < 75%
- ≥ 75%, < 100%
Has the entity formally adopted a policy or policies on social issues?

Yes

Specific issues included in the entity’s policy or policies:

- Child labor
- Community relations
- Customer satisfaction
- Data protection and privacy
- Employee engagement
- Forced or compulsory labor
- Gender and diversity
- Health and safety: employees
- Health and safety: customers
- Health and safety: community
- Health and safety: supply chain
- Labor standards and working conditions
- Discrimination
- Other issues: __________

Policy or policies apply to:

- Entity
- Contractors
- Other: __________

Can the entity provide evidence as an UPLOAD or URL?

Yes

UPLOAD supporting evidence OR provide URL: __________

Reference: __________

No

Provide additional context for the answer provided (maximum 250 words)

Contractors Covered by Entity ESG Policy/Policies

- < 0%, < 25%
- ≥ 25%, < 50%
- ≥ 50%, < 75%
- ≥ 75%, < 100%

---

Has the entity formally adopted a policy or policies on governance issues?

- Yes

Specific issues included in the entity’s governance policy or policies:

- Audit committee structure/independence
- Board composition
- Bribery and corruption
- Compensation committee structure/independence
- Executive compensation
- Fraud
- Fiduciary duty
- Independence of Board chair
- Lobbying activities
- One share/one vote
- Political contributions
- Whistleblower protection
- Other: ______________

Policy or policies apply to:

- Entity
- Contractors
- Other: ______________

Can the entity provide evidence as an UPLOAD or URL?

- Yes
  - UPLOAD supporting evidence OR provide URL: ______________
  - Reference: ______________________________________________________________________
  - No

Provide additional context for the answer provided (maximum 250 words)

Contractors Covered by Entity ESG Policy/Policies

- < 0%, < 25%
- ≥ 25%, < 50%
- ≥ 50%, < 75%
- ≥ 75%, < 100%
**PD4**  
**Does the entity have a process for stakeholders to communicate grievances?**

- Yes

Describe the elements of the process (select all that apply)

- Anonymous communications
- 24/7 availability (e.g., phone, email, post)
- Clear prohibition against retaliation
- Fair and independent review

Describe the use of the process during the reporting period (select all that apply)

- Number of grievances communicated
- Summary of types of grievances
- Summary of types of resolutions for grievances

Can the entity provide evidence as an UPLOAD or URL?

- Yes

  [UPLOAD] supporting evidence OR provide URL: ________________________

  Reference: ________________________________

- No

- No

Provide additional context for the answer provided (maximum 250 words)

______________________________

**PD5**  
**Does the entity have third-party review of its ESG reporting and/or data?**

- Yes

  - ESG reporting
    - Internal audit: _____
    - Externally checked by: ______________________
    - Externally verified by: ______________________
    - Externally assured by: ______________________

  - Environmental data
    - Internal audit: _____
    - Externally checked by: ______________________
    - Externally verified by: ______________________
    - Externally assured by: ______________________
Social data
- Internal audit: _____
- Externally checked by: _________________
- Externally verified by: _________________
- Externally assured by: _________________

Governance data
- Internal audit: _____
- Externally checked by: _________________
- Externally verified by: _________________
- Externally assured by: _________________

Information submitted in this assessment (GRESB Infrastructure)
- Internal audit: _____
- Externally checked by: _________________
- Externally verified by: _________________
- Externally assured by: _________________

Other ESG information
- Internal audit: _____
- Externally checked by: _________________
- Externally verified by: _________________
- Externally assured by: _________________

Can the entity provide evidence as an UPLOAD or URL?
- Yes
  - UPLOAD supporting evidence OR provide URL: _________________
  - Reference _________________
- No

Provide additional context for the answer provided (maximum 250 words)
Did the entity publish formal impact assessments during the last 3 years?

Yes

Types of formal assessments published during the last 3 years

- Environmental impact statement/report/assessment
- Health Impact Assessment
- Social Impact Assessment
- Community needs assessment
- Other technical assessment: ______

Can the entity provide evidence as an UPLOAD or URL?

Yes

UPLOAD supporting evidence OR provide URL: ______________________

Reference __________________________

No

No

Provide additional context for the answer provided (maximum 250 words)

Does the entity communicate about its ESG activities?

Yes

<table>
<thead>
<tr>
<th>Communication strategy</th>
<th>ESG Policy/ Policies</th>
<th>ESG Targets</th>
<th>ESG Actions</th>
<th>ESG Performance</th>
</tr>
</thead>
<tbody>
<tr>
<td>No communications</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Website</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Section of annual report</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Integrated report</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Sustainability report</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Other:________________</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

Can the entity provide evidence as an UPLOAD or URL?

Yes

UPLOAD supporting evidence OR provide URL: ______________________

Reference __________________________

No

No

Provide additional context for the answer provided (maximum 250 words)
Does the entity have a process to communicate about ESG-related misconduct or penalties?

- Yes

Elements of the entity's communications process

- Entity informs investors about misconduct or penalties
- Entity informs the public about misconduct or penalties
- Entity informs other stakeholders about misconduct or penalties
- No communications

Application of the communication process

- The entity has used one or more of these communication processes during the reporting period.
- The entity did not use any of these communication processes during the reporting period.

Can the entity provide evidence as an UPLOAD or URL?

- Yes
  
  **UPLOAD** supporting evidence OR provide URL: ______________________
  
  Reference __________________________

- No

No

Provide additional context for the answer provided (maximum 250 words)

_________________________________________________________________
### Does the entity assess and mitigate risks associated with environmental issues?

**Yes**

**Issues for which the entity assessed risks, planned mitigation, and/or implemented mitigation actions during the last 3 years (select all that apply)**

<table>
<thead>
<tr>
<th>Environmental Issues</th>
<th>Included in Risk Assessment</th>
<th>Addressed in Mitigation Plan(s)</th>
<th>Mitigation Actions Implemented</th>
</tr>
</thead>
<tbody>
<tr>
<td>Air pollutants</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Biodiversity and habitat protection</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Energy</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Greenhouse gas emissions</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Invasive species</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Resilience to catastrophe/disaster</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Resilience to climate change</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Water resources</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Waste management</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Other: ____________</td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

**Can the entity provide evidence as an UPLOAD or URL?**

- **Yes**
  
  **UPLOAD** Supporting evidence OR provide URL: ____________

  **Reference** ..........................................................

- **No**

- **No**

  Provide additional context for the answer provided (maximum 250 words)
Does the entity assess and mitigate risks associated with social issues?

- Yes

Issues addressed in the risk assessment and planning process during the last 3 years (select all that apply)

<table>
<thead>
<tr>
<th>Social Issues</th>
<th>Included in Risk Assessment</th>
<th>Addressed in Mitigation Plan(s)</th>
<th>Mitigation Actions Implemented</th>
</tr>
</thead>
<tbody>
<tr>
<td>Child labor</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Community relations</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Customer satisfaction</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Data protection and privacy</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Employee engagement</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Forced labor</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Gender and diversity</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Health and safety: customers</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Health and safety: employees</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Health and safety: community</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Supply chain health and safety</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Labor standards/working conditions</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Discrimination</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Other: ___________________________</td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

Can the entity provide evidence as an UPLOAD or URL?

- Yes
  - UPLOAD supporting evidence OR provide URL: __________________________
  
  Reference __________________________________________________________

- No

No

Provide additional context for the answer provided (maximum 250 words)

__________________________________________
Does the entity assess and mitigate risks associated with governance issues?

- Yes

Issues addressed in the risk assessment and planning process during the last 3 years (select all that apply)

<table>
<thead>
<tr>
<th>Governance Issues</th>
<th>Included in Risk Assessment</th>
<th>Addressed in Mitigation Plan(s)</th>
<th>Mitigation Actions Implemented</th>
</tr>
</thead>
<tbody>
<tr>
<td>Audit committee structure/independence</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Board composition</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Bribery and corruption</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Compensation committee structure/independence</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Executive compensation</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Fraud</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Independence of Board chair</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Lobbying activities</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>One share/one vote</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Political contributions</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Whistleblower protection</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Other:______________</td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

Can the entity provide evidence as an UPLOAD or URL?

- Yes

**UPLOAD** supporting evidence OR provide URL: ____________________________

Reference ____________________________________________________________

- No

Provide additional context for the answer provided (maximum 250 words)
Can the entity provide specific examples of actions taken to improve ESG management and performance?

Yes

Describe specific examples of actions taken to improve ESG performance during the last 3 years. The goal is to provide illustrative examples of tangible actions that demonstrate the entity’s progress.

<table>
<thead>
<tr>
<th>ESG issues addressed by action (1)</th>
<th>Title for action (2)</th>
<th>Keywords describing action (3)</th>
<th>Description of action (4)</th>
<th>Fraction of entity covered by action (5)</th>
<th>Evidence for action (6)</th>
</tr>
</thead>
<tbody>
<tr>
<td>Environmental</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Select</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Add other action</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

| Social                            |                      |                                |                           |                                         |                        |
| Select                            |                      |                                |                           |                                         |                        |
| Add other action                  |                      |                                |                           |                                         |                        |

| Governance                        |                      |                                |                           |                                         |                        |
| Select                            |                      |                                |                           |                                         |                        |
| Add other action                  |                      |                                |                           |                                         |                        |

No

Provide additional context for the answer provided (maximum 250 words)

Explanation of fields

(1) Categories of ESG issues (select all that apply for each activity)

<table>
<thead>
<tr>
<th>Environmental Categories</th>
<th>Social Categories</th>
<th>Governance Categories</th>
</tr>
</thead>
<tbody>
<tr>
<td>• Air pollutants</td>
<td>• Child labor</td>
<td>• Audit committee structure/ independence</td>
</tr>
<tr>
<td>• Biodiversity and habitat protection</td>
<td>• Community relations</td>
<td>• Board composition</td>
</tr>
<tr>
<td>• Energy</td>
<td>• Customer satisfaction</td>
<td>• Bribery and corruption</td>
</tr>
<tr>
<td>• Greenhouse gas emissions</td>
<td>• Data protection and privacy</td>
<td>• Compensation committee structure/independence</td>
</tr>
<tr>
<td>• Invasive species</td>
<td>• Employee engagement</td>
<td>• Executive compensation</td>
</tr>
<tr>
<td>• Resilience to catastrophe/disaster</td>
<td>• Forced labor</td>
<td>• Fraud</td>
</tr>
<tr>
<td>• Resilience to climate change</td>
<td>• Gender and diversity</td>
<td>• Independence of Board chair</td>
</tr>
<tr>
<td>• Water resources</td>
<td>• Health and safety: employees</td>
<td>• Lobbying activities</td>
</tr>
<tr>
<td>• Waste management</td>
<td>• Health and safety: customers</td>
<td>• One share/one vote</td>
</tr>
<tr>
<td>• Other _________________</td>
<td>• Health and safety: community</td>
<td>• Political contributions</td>
</tr>
<tr>
<td></td>
<td>• Supply chain health and safety</td>
<td>• Whistleblower protection</td>
</tr>
<tr>
<td></td>
<td>• Labor standards/working conditions</td>
<td>• Other _________________</td>
</tr>
<tr>
<td></td>
<td>• Discrimination</td>
<td></td>
</tr>
<tr>
<td></td>
<td>• Other _________________</td>
<td></td>
</tr>
</tbody>
</table>

(2) Title to describe the activity

(3) Keywords describing the activity (e.g., energy efficiency, discrimination)

(4) Description of the activity (50 words maximum)
(5) Classify the fraction of the entity covered by the action.

- A small fraction (<25%) of operations
- The minority (≥25, <50%) of operations
- The majority (≥50%, <100%) of operations
- Entire entity (100%)
- Unknown

(6) Provide evidence that can be shared with investors and other participants. Entities are encouraged to provide the simplest form of evidence that convincingly documents the activity. Acceptable evidence can take many forms, including Tweets, images, blogs, or case studies. Entities also have the option to create and share a GRESB Innovation Case Study.

- Provide a link (URL) to a description of the activity (e.g., a blog, Tweet, Instagram post, existing case study)
- Upload a document describing the activity
- Create a GRESB Innovation Case Study
Does the entity have an Environmental Management System or comparable framework?

- Yes

Describe the EMS or comparable framework (maximum 250 words):

Is the EMS or framework aligned with third-party standard?

- Yes
  - ISO 14001
  - BS7750
  - EU Eco-Management and Audit Scheme
  - Other standard: ___

- No

Has the EMS or framework been verified or certified by a third-party?

- Yes
  - Verification by: ___
  - Certified by: ___

- No

Can the entity provide evidence as an UPLOAD or URL?

- Yes
  - UPLOAD Supporting evidence OR provide URL: __________________
  - Reference __________________

- No

Provide additional context for the answer provided (maximum 250 words)
Does the entity collect ESG performance data?

- Yes

Type of ESG data collected by the entity

- Environmental performance data
- Social performance data
- Governance performance data
- Other data: ________________

Can the entity provide evidence as an UPLOAD or URL?

- Yes
  - UPLOAD supporting evidence OR provide URL: ________________
  - Reference ________________
- No

Provide additional context for the answer provided (maximum 250 words)
Does the entity have a stakeholder engagement program?

Yes

Elements of the stakeholder engagement program:
- Planning and preparation for engagement
- Implementation of engagement plan
- Program review and evaluation

Is the stakeholder engagement program aligned with third-party standards and/or guidance?

Yes
- AA1000 Stakeholder Engagement standard
- International Association for Public Participation spectrum, Australasia
- UNEP Stakeholder Engagement Manual
- Other: ______________

No

Can the entity provide evidence as an UPLOAD or URL?

Yes

UPLOAD supporting evidence OR provide URL: ______________

Reference ______________

No

No

Provide additional context for the answer provided (maximum 250 words)
Can the entity describe specific examples of actions taken to implement its stakeholder engagement program?

Yes

Describe specific, illustrative actions to implement its stakeholder engagement program over the last 3 years.

<table>
<thead>
<tr>
<th>Type of activity</th>
<th>Description of the activity</th>
<th>Stakeholder group(s) involved in the activity (1)</th>
<th>Nature of activity (2)</th>
<th>Benefits of the activity (3)</th>
<th>Evidence for the activity (4)</th>
</tr>
</thead>
<tbody>
<tr>
<td>Program Planning</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Program Planning</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Program Planning</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Program Planning</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Program Planning</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Program Planning</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Program Planning</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Program Planning</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Program Planning</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Program Planning</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Program Planning</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Program Planning</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Program Planning</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Program Planning</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Program Planning</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Program Planning</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Program Planning</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Program Planning</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Program Planning</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Program Planning</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Program Planning</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Program Planning</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Program Planning</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Program Planning</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Program Planning</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Program Planning</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

Can the entity provide evidence as an UPLOAD or URL?

Yes

UPLOAD Supporting evidence OR provide URL: __________________________

Reference __________________________

No

No

Provide additional context for the answer provided (maximum 250 words)

Explanation of fields

(1) Classify the stakeholder group addressed in the activity, (select all that apply):

- Employees
- Community
- Supply chain
- Regulators/government
- Other: __________________________
(2) Classify the nature of the engagement, (select all that apply):

- Dialog (e.g., exchange of information, feedback)
- Education
- Services
- Research
- Philanthropy
- Other: ______________________

(3) Describe the intended benefit of the action.

(4) Provide evidence that can be shared with investors and other participants. Entities are encouraged to provide the simplest form of evidence that convincingly documents the activity. Acceptable evidence can take many forms, including Tweets, images, blogs, or case studies. Entities also have the option to create and share a GRESB Innovation Case Study.

- Provide a link (URL) to a description of the activity (e.g., a blog, Tweet, Instagram post, existing case study)
- Upload a document describing the activity
- Create a GRESB Innovation Case Study
Can the entity report on measures of output?

☐ Yes

This table is intended to convey the most important measures of overall output for entity. This is a measure of the productive activity or activities provided by the infrastructure. These measures can be financial (e.g., operating revenue) or physical (e.g., number of gallons treated).

Entities with multiple infrastructure activities (e.g., roadways and ports) should report at least one measure per business activity (e.g., vehicles miles traveled, TEUs moved).

This table initially populates for output measures selected in ER 9. Participants may (optionally) add additional output metrics.

<table>
<thead>
<tr>
<th>Indicator</th>
<th>Units</th>
<th>Baseline</th>
<th>History</th>
<th>Targets</th>
</tr>
</thead>
<tbody>
<tr>
<td>From EC 9</td>
<td>From EC 9</td>
<td></td>
<td>2013</td>
<td>2014</td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
<td></td>
<td>Target year</td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
<td>EC 9</td>
<td></td>
</tr>
</tbody>
</table>

Supporting Information and Performance Interpretation

Types of information included in evidence:

- Methods and calculations underlying output metrics
- Interpretation of output metrics and targets
- Other: ________________

Can the entity provide evidence as an UPLOAD or URL?

☐ Yes

[UPLOAD] Supporting evidence OR provide URL: ________________

Reference ________________

☐ No

☐ No

Provide additional context for the answer provided (maximum 250 words)
Can the entity report on health and safety performance over time?

<table>
<thead>
<tr>
<th>Measure</th>
<th>Units</th>
<th>Baseline</th>
<th>History</th>
<th>Targets</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td></td>
<td></td>
<td>2013</td>
<td>2014</td>
</tr>
<tr>
<td>Employees</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Fatalities</td>
<td>Number</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Reportable Injuries</td>
<td>Number</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Customer</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Fatalities</td>
<td>Number</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Reportable Injuries</td>
<td>Number</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Community</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Fatalities</td>
<td>Number</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Reportable Injuries</td>
<td>Number</td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

Supporting Information and Performance Interpretation

Types of information included in evidence:

- Methods and calculations underlying performance data
- Interpretation of performance data and targets
- Other: ________________

Can the entity provide evidence as an UPLOAD or URL?

- Yes
  
  UPLOAD Supporting evidence OR provide URL: ________________
  
  Reference ________________

- No

Provide additional context for the answer provided (maximum 250 words)
Can the entity report on energy generated and purchased over time?

- Yes

<table>
<thead>
<tr>
<th>Measure</th>
<th>Units</th>
<th>Baseline</th>
<th>2013</th>
<th>2014</th>
<th>2015</th>
<th>Target Year</th>
<th>Target Year</th>
<th>Target Year</th>
</tr>
</thead>
<tbody>
<tr>
<td>Total energy generated</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Total energy purchased</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

**Supporting Information and Performance Interpretation**

Types of information included in evidence:
- Methods and calculations underlying performance data
- Interpretation of performance data and targets
- Other: __________________________

Can the entity provide evidence as an UPLOAD or URL?

- Yes
  - UPLOAD supporting evidence OR provide URL: __________________________
  - Reference __________________________

- No

Provide additional context for the answer provided (maximum 250 words)
Can the entity report on greenhouse gas emissions over time?

Yes

Supporting Information and Performance Interpretation

Types of information included in evidence:

- Methods and calculations underlying performance data
- Interpretation of performance data and targets
- Other: ____________________

Can the entity provide evidence as an UPLOAD or URL?

- Yes
  - UPLOAD supporting evidence OR provide URL: ____________________
  - Reference ____________________

- No

Provide additional context for the answer provided (maximum 250 words)
Can the entity report on air pollutant emissions over time?

Yes

<table>
<thead>
<tr>
<th>Indicator</th>
<th>Units¹</th>
<th>Baseline</th>
<th>History</th>
<th>Targets</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>Emissions generated</strong></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>( SO_2 )</td>
<td>Intensity</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>( NO_2 )</td>
<td>Intensity</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>( PM_{2.5} )</td>
<td>Intensity</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>( PM_{10} )</td>
<td>Intensity</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td><strong>Emissions avoided</strong></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>( SO_2 )</td>
<td>Intensity</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>( NO_2 )</td>
<td>Intensity</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>( PM_{2.5} )</td>
<td>Intensity</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>( PM_{10} )</td>
<td>Intensity</td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

1. Intensities are typically reported as mass of pollutants per unit output. For energy generation, this may be kilograms or pounds of pollutant per megawatt hour. Alternatively for manufacturing, intensity may be kilograms or pounds of pollutant per unit of product.

Supporting Information and Performance Interpretation

Types of information included in evidence:

- Methods and calculations underlying performance data
- Interpretation of performance data and targets
- Other: ________________

Can the entity provide evidence as an UPLOAD or URL?

Yes

**UPLOAD** Supporting evidence OR provide URL: ________________

Reference ________________

No

Provide additional context for the answer provided (maximum 250 words)
Can the entity report on water use over time?

- Yes

## Supporting Information and Performance Interpretation

### Types of information included in evidence:

- Methods and calculations underlying performance data
- Interpretation of performance data and targets
- Other: ________________

### Can the entity provide evidence as an UPLOAD or URL?

- Yes

  **UPLOAD** Supporting evidence OR provide URL: ________________

  Reference: ________________

- No

Provide additional context for the answer provided (maximum 250 words)

---

### Withdrawals

<table>
<thead>
<tr>
<th>Indicator</th>
<th>Units</th>
<th>Baseline</th>
<th>2013</th>
<th>2014</th>
<th>2015</th>
<th>Target Year</th>
<th>Target Year</th>
<th>Target Year</th>
</tr>
</thead>
<tbody>
<tr>
<td>Potable water supply</td>
<td>Volume</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Surface water</td>
<td>Volume</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Seawater</td>
<td>Volume</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Groundwater</td>
<td>Volume</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

### Consumption

| Total                      | Volume  |          |      |      |      |             |             |             |

### Discharged

| Municipal Treatment Plant  | Volume  |          |      |      |      |             |             |             |
| Surface water              | Volume  |          |      |      |      |             |             |             |
| Seawater                   | Volume  |          |      |      |      |             |             |             |
| Groundwater                | Volume  |          |      |      |      |             |             |             |

---

47

© 2016 GRESB BV
Can the entity report on waste generation and disposal over time?

Yes

Supporting Information and Performance Interpretation

Types of information included in evidence:

- Methods and calculations underlying performance data
- Interpretation of performance data and targets
- Other: ________________

Can the entity provide evidence as an UPLOAD or URL?

Yes

UPLOAD supporting evidence OR provide URL: ________________

Reference ________________________________

No
**Can the entity report on biodiversity and habitat over time?**

- Yes

### Supporting Information and Performance Interpretation

#### Types of information included in evidence:

- Methods and calculations underlying performance data
- Interpretation of performance data and targets
- Other: ________________

#### Can the entity provide evidence as an UPLOAD or URL?

- Yes
  - **UPLOAD** supporting evidence OR provide URL: ________________
  - Reference: ________________
  - No
  - No

**Provide additional context for the answer provided (maximum 250 words)**

---

### Measure | Units | Baseline | 2013 | 2014 | 2015 | Target Year | Target Year | Target Year | Target Year |
---|---|---|---|---|---|---|---|---|---|
**Wildlife** | | | | | | | | | |
Wildlife fatalities | Count | | | | | | | | |
T&E species fatalities | Count | | | | | | | | |
**Habitat management** | | | | | | | | | |
Habitat removed | Ha | | | | | | | | |
Habitat enhanced or restored | Ha | | | | | | | | |
Habitat protected (on-site) | Ha | | | | | | | | |
Habitat conserved (off-site) | Ha | | | | | | | | |
## Certifications & Awards

### CA1

**Did the entity maintain or achieve entity-level certifications for ESG-related management and/or performance?**

- **Yes**

  List entity-level accreditations or certifications maintained or achieved in the last 3 years (select all that apply)

  - ISO 9000  
    - Date first accredited:  
    - % of operations
  - ISO 14001  
    - Date first accredited:  
    - % of operations
  - ISO 18001  
    - Date first accredited:  
    - % of operations
  - ISO 26000  
    - Date first accredited:  
    - % of operations
  - ISO 55000  
    - Date first accredited:  
    - % of operations
  - OHSAS  
    - Date first accredited:  
    - % of operations
  - Other:  
    - Date first accredited:  
    - % of operations

**Can the entity provide evidence as an UPLOAD or URL?**

- **Yes**

  *UPLOAD* Supporting evidence OR provide URL: _______________________

  Reference _______________________

- **No**

**Provide additional context for the answer provided (maximum 250 words)**

Indicate the percentage of the entities operations covered by each certification/accreditation. The entity may select its own method of calculation to define the percentage, such as number of facilities or fraction of employees. The calculation method must be described in the evidence.

- < 0 %, < 25%
- ≥ 25%, < 50%
- ≥ 50%, < 75%
- ≥ 75%, < 100%
- Unknown
Did the entity maintain or achieve project-level certifications for ESG-related management and/or performance?

- **Yes**

List projects certified within the last 3 years:

<table>
<thead>
<tr>
<th>Project name</th>
<th>Date of award</th>
<th>Scheme Name/ sub-scheme name/level</th>
<th>Phase</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

Can the entity provide evidence as an UPLOAD or URL?

- **Yes**

  [UPLOAD]

  Supporting evidence OR provide URL: ______________________

  Reference ________________________________

- **No**

Provide additional context for the answer provided (maximum 250 words)

---

**Scheme Name/Sub-scheme Name**
Select from Certifications Database or Add

**Phases**

- Planning and design
  - Construction
  - Operations
CA3  Did the entity receive awards for ESG-related actions, performance, or achievements?

- Yes

Information about third-party awards:

<table>
<thead>
<tr>
<th>Award name</th>
<th>Organization issuing award</th>
<th>Date of award</th>
<th>Basis for award</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

Can the entity provide evidence as an UPLOAD or URL?

- Yes
  
  **UPLOAD** supporting evidence OR provide URL: ________________________

  Reference ________________________

- No

- No

Provide additional context for the answer provided (maximum 250 words)

CA4  Was the entity the subject of case studies, research, or similar publications describing its ESG management and/or performance?

- Yes

Information about case studies or similar publications

<table>
<thead>
<tr>
<th>Case study</th>
<th>Organization conducting case study</th>
<th>Description</th>
<th>Evidence (I)</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

- No

Provide additional context for the answer provided (maximum 250 words)

(1) Provide evidence that can be shared with investors and other participants. Entities are encouraged to provide the simplest form of evidence that convincingly documents the activity. Acceptable evidence can take many forms, including Tweets, images, blogs, or case studies. Entities also have the option to create and share a GRESB Innovation Case Study.

- Provide a link (URL) to a description of the activity (e.g., a blog, Tweet, Instagram post, existing case study)
- Upload a document describing the activity
- Create a GRESB Innovation Case Study